

RECORD OF CORRECTIVE ACTION

INSTRUCTIONS:

- The Record of Corrective Action is used to document all corrective actions taken to manage or resolve a situation involving the accidental release of regulated plant material during transport and/or storage or any breach of the terms and conditions of authorization of the confined field trial or during the post-harvest monitoring period.

- A copy of this record of Corrective Action, together with any other relevant records (e.g. , Record of Transport, Record of Storage Inspection, Record of Spatial Isolation, Record of Harvest, etc .), should be forwarded to the Permitted Party and RCGM/GEAC.

PLEASE PRINT CLEARLY

PERMITTED PARTY

Name _____

Organization _____

Address _____

Telephone _____ Fax _____

E-mail _____

RECORD INITIATED BY

Name _____

Position _____

Organization _____

Address _____

Telephone _____ Fax _____

E-mail _____

RECORD OF CORRECTIVE ACTION (cont'd)

ACTIVITY REQUIRING CORRECTIVE ACTION

Indicate the category of activity requiring corrective action and then complete the relevant information requirements under transportation and storage, or trial site.

transport storage planting monitoring harvesting Other

If other, describe below

IDENTIFICATION OF AFFECTED REGULATED PLANT

Permit number _____

Plant species _____

Approximate amount of affected material _____

Form of material seeds tubers transplants other, describe below

TRANSPORT AND STORAGE

Consignment _____

Item number _____

Facility name _____

Storage location identifier _____

Building name _____

Room number or description _____

Address of facility _____

RECORD OF CORRECTIVE ACTION (cont'd)

TRIAL SITE

Site location _____

Trial site size (ha or m²) _____ No. of trials at this site _____

Legal or descriptive land location _____

Distance to nearest cultivated field of the same plant species (m) _____

Distance to nearest commercial crop of any kind (m) _____

Is the isolation distance under the Trial In-Charge's control? yes no

Method of reproductive isolation spatial isolation crop termination other, describe below

IDENTIFICATION OF COMPLIANCE ISSUE

Check all that apply

- | | |
|--|---|
| <input type="checkbox"/> unauthorized shipment | <input type="checkbox"/> breach of spatial isolation |
| <input type="checkbox"/> primary shipping container breached | <input type="checkbox"/> article lost during shipment |
| <input type="checkbox"/> accidental release during transport | <input type="checkbox"/> Record of Transport missing |
| <input type="checkbox"/> accidental release during storage | <input type="checkbox"/> received at wrong destination |
| <input type="checkbox"/> other, describe below | <input type="checkbox"/> prohibited plants present on post-harvest site |

DESCRIPTION OF CORRECTIVE ACTION TAKEN

Check all that apply

- | | |
|--|--|
| <input type="checkbox"/> destruction of regulated material | <input type="checkbox"/> recovery of spilled material |
| <input type="checkbox"/> removal of prohibited plants | <input type="checkbox"/> destruction of trial |
| <input type="checkbox"/> destruction of neighbouring crop | <input type="checkbox"/> imposition of post harvest restrictions |
| <input type="checkbox"/> other, describe below | <input type="checkbox"/> imposition of spatial isolation zone |

RECORD OF CORRECTIVE ACTION (cont'd)

ADDITIONAL COMMENTS AND OBSERVATIONS

VERIFICATION

This activity has been carried out to meet the specific authorization permit conditions for storage, transport and/or conduct of confined field trials of regulated plant material.

Signature of Trial In-Charge

Date signed

By my signature, above, I attest that the information contained herein is accurate and complete to the best of my knowledge and belief.

THIS SECTION TO BE COMPLETED BY THE AUTHORIZED PARTY ONLY

COMMUNICATION WITH REGULATORY OFFICIALS

Name of official first contacted

Department or office

Telephone

Fax

E-mail

Summarize communication outcomes, including agreed options for risk management. Itemize all communications, recording date and individuals involved. Attach any written correspondence or transcripts of oral communications.